Date: March 31, 2002

Memorandum To: All AES Participants

FROM: Gerard J. Horner
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SUBJECT: **MANDATORY REPORTING REQUIREMENTS FOR AES**

On November 29, 1999 the President signed H.R. 3194, the "Proliferation Prevention Enhancement Act of 1999" into law (Public Law 106-113). Section 1252 of this law amends Title 13, United States Code, Chapter 9, Section 301, to add section (h) authorizing the Department of Commerce, Census Bureau, to require by regulation mandatory reporting requirements for filing export information through the Automated Export System (AES). This Act further specifies that all items on the Commodity Control List (CCL) and the U.S. Munitions List (USML) that require a Shipper's Export Declaration (SED) be reported through AES, whether or not a license is required.

The effective date of Section 1252 – Public Law 106-113 is March 2002. However, mandatory compliance becomes effective upon the publication of final regulations in the Federal Register. AES is anticipating a September 2002 implementation of the new mandatory reporting requirements for all items on the CCL and USML.

AES participants must modify their software to comply with the new reporting requirements that will be effective September 2002. The Automated Export System Trade Interface Requirements (AESTIR) October 2000 document has been updated (March 2002 Effective September 2002) to reflect the new requirements. Included are changes to the CL2 record, a **NEW** ODTC License Information record (ODT record), 3 new appendices (O, L, and W), and new error messages added to Appendix A, AES Common Error Messages. The X.12 601 U.S. Customs Export Shipment Information Set, Segment X1 has been updated to reflect the new data requirements. The Summary of Changes to the AESTIR is included here. To access the revised AESTIR, please link to http://www.customs.gov/impoexpo/auto_exp.htm and click on AES Technical Documentation.

Revised: March 2002
   Effective: September 2002
PART I General Information: No Change

PART II AES RECORD FORMATS:
   Location of Changes

	Record	Data Element	Action
Customs Proprietary Commodity Record Formats	**CL2**	**License Code**	**Descriptive Note Added**
		Export License Number	**Name Change**
		(CFR Citation moved to ODT record)	
	ODT (ODTC License Detail)		**RECORD Added**

	Segment	Action
X-12 Commodity Record Format	**X1 Export License**	**ODTC License Detail ADDED**
(601 U.S. Customs Export Shipment Information)		

Transportation Formats – No Change

PART III APPENDICES:
   APPENDIX A – AES Common Error Messages

ERROR MESSAGE	DESCRIPTION	ACTION
020 Fatal Error	Data Records out of Sequence	Modified
022 Fatal Error	Non-Numeric ODTC Quantity	NEW
179 Fatal Error	State Depart Shipment Inelig	Modified
184 Fatal Error	ODTC License# Invalid	Modified
186 Fatal Error	Missing License Number	Modified
187 Fatal Error	Invalid License Number	Modified
204 Fatal Error	ODTC Data not allowed	NEW
205 Fatal Error	ODTC Data Required	NEW
206 Fatal Error	Frgn Mil Sale Must Be DSP-94	NEW
207 Fatal Error	DSP-94 Not Allowed for EIC	NEW
208 Fatal Error	DSP-94 Format: AA-A-AAA	NEW
209 Fatal Error	ODTC Registrant Missing	NEW
210 Fatal Error	ODTC Registrant Invalid	NEW
211 Fatal Error	ODTC Registrant Expired	NEW
212 Fatal Error	ODTC SME Ind Missing	NEW
213 Fatal Error	ODTC SME Ind Must Be Y or N	NEW
214 Fatal Error	ODTC USML Category Missing	NEW
215 Fatal Error	ODTC USML Category Invalid	NEW

216 Fatal Error	ODTC UOM Missing	NEW
217 Fatal Error	ODTC UOM Invalid	NEW
218 Fatal Error	ODTC Quantity Must Be >0	NEW
219 Fatal Error	LIC# Not Allowed for Exmptn	NEW
220 Fatal Error	ODTC ITAR Exmptn# Missing	NEW
221 Fatal Error	ODTC Exmptn# Invalid	NEW
222 Fatal Error	Exmptn# Not Allowed for Lic	NEW
223 Fatal Error	LIC Not Issued to Regstrnt	NEW
224 Fatal Error	ODTC Elig Party Ind Missing	NEW
225 Fatal Error	ODTC Elg Prty Must Be Y or N	NEW
226 Fatal Error	ODTC Elig Party Not Allowed	NEW
227 Fatal Error	DEC Not ODTC Eligible	NEW

Appendix L - ODTC USML CATEGORY CODES   *NEW*
Appendix O - ODTC ITAR EXEMPTION CODES   *NEW*
Appendix W - ODTC UNIT OF MEASURE CODES   *NEW*

If you have any questions regarding these changes or need additional information, please do not hesitate to call your AES Customs or Census Client Representative. Thank you for your continued cooperation and AES support.